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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 6

Complete if Known				
Application Number	10/087,153			
Filing Date	February 28, 2002			
First Named Inventor	PAVLOVSKAIA, ELENA			
Group Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	018563-002910US			

	ĺ	U.S. Patent Documer	U.S. PATENT DOCU	The state of the s	F
examiner Initials	Cite No.1	Number (if known)	Pare of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Rassages or Relevant Figures Appear
///	AA	3,407,500	Kesling	10/1968	<b>(2)</b>
	AB	3,600,808	Reeve	08/1971 APR	1 5 2002 H
	AC	3,660,900	Andrews	05/1972	
	AD	3,683,502	Wallshein	05/1972 08/1972 01/1975 12/1975	.59
	AE	3,860,803	Levine	01/1975	PADEMAK
	AF	3,922,786	Lavin	12/1975	
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/h	BB	4,936,862	Walker et al.	06/1990	
	вс	4,941,826	Loran et al.	07/1990	

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Date

Considered

Todd E. Manahan

Primary Examinar

<sup>1</sup>. Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

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Sheet 2 of 6 apr 15

C mplete if Known				
Filing Date	February 28, 2002			
First Named Inventor	PAVLOVSKAIA, ELENA			
Group Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	018563-002910US			

		U.S. Patent Document	PALS PATENT DOCU		
Examiner Initials	Office No.1	Number Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BD	4,983,334	Adell	01/1991	
	BE	5,011,405	Lemchen	04/1991	
	BF	5,017,133	Miura	05/1991	
	BG	5,035,613	Breads et al.	07/1991	
	ВН	5,055,039	Abatte et al.	10/1991	
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	BK	5,125,832	Kesling	06/1992	
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	во	5,273,429	Rekow et al.	12/1993	
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	BQ	5,340,309	Robertson	08/1994	
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	вт	5,368,478	Andreiko et al.	11/1994	
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	BY	5,452,219	Dehoff et al.	09/1995	
	BZ	5,454,717	Andreiko et al.	10/1995	
	CA	5,456,600	Andreiko et al.	10/1995	
	СВ	5,474,448	Andreiko et al.	12/1995	
į.	CC	5,528,735	Strasnick et al.	06/1996	
	CD	5,533,895	Andreiko et al.	07/1996	
XII.	CÉ	5,542,842	Andreiko et al.	08/1996	
	CF	5,549,476	Stern	08/1996	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 6

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·	Complete if Known				
Application Number	10/087,153				
Filing Date	February 28, 2002				
First Named Inventor	PAVLOVSKAIA, ELENA				
Group Art Unit	Unassigned				
xaminer Name	Unassigned				
Atorney Docket Number	018563-002910US				

		, U.S. Patent Documen	U.S. PATENT DOCU		Pages, Columns, Lines,
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	CG	5,587,912	Anderson et al.	12/1996	
	СН	5,605,459	Kuroda et al.	02/1997	,
	CI	5,645,420	Bergersen	07/1997	
	C1	5,645,421	Slootsky	07/1997	
$\perp$	СК	5,683,243	Andreiko et al.	11/1997	
	CL	5,692,894	Schwartz et al.	12/1997	
	СМ	5,725,376	Poirier	03/1998	
	CN	5,725,378	Wang	03/1998	
	со	5,800,174	Andersson	09/1998	
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	CQ	5,879,158	Doyle et al.	03/1999	
	CR	5,880,961	Crump	03/1999	
	cs	5,957,686	Anthony	09/1999	
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	cw	6,044,309	Honda	03/2000	
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	CZ	6,183,248	Chishti et al.	02/2001	
VI	DA	6,190,165	Andreiko et al.	02/2001	
1///	DB	6,217,334	Hultgren	04/2001	
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Examiner	Todd E. Manahan	Date	1
Signature	Todd E. Manahan	Considered	1 1-9-07
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 6

C mplete if Known			
Application Number	10/087,153		
Filing Date	February 28, 2002		
First Named Inventor	PAVLOVSKAIA, ELENA		
Group Art Unit	Unassigned		
Examiner Name	Unassigned		
Attorney Docket Number	018563-002910US		

FOREIGN BATENT DOCUMENTS									
	Ĉite No. '	Fore Office <sup>3</sup>	Nilmaer	ind Code <sup>5</sup>	Name of Pater or Applicant Cited Docum	of	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>8</sup>
	DC	FR	FR 2369828		į		06/1978		
	DD	PCT	WO 98/58596		{		07/1998		
	DE	PCT	WO 98/32394		1		07/1998		
	DF	PCT	WO 94/10935		į,		05/1994		,
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Examiner Signature Todd E. Manahan Date Considered 2-2-04

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents, <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the riocument under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) P.E

Complete if Known **Application Number** 10/087,153 **Filing Dat** February 28, 2002 **First Named Inventor** PAVLOVSKAIA, ELENA Group Art Unit Unassigned **Examiner Name** Unassigned attorney Docket Number 018563-002910US

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ļ	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
	Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2				
1		DG	Andrews, "The Six Keys to Optimal Occlusion" Straight Wire, Chapter 3 pp13-24					
1/4		DH	Biostar Opeation & Training Manual. Great Lakes Orthodontics, Ltd. 199 Fire Tower Drive, Tonawanda, New York. 14150-5890. 20 pages total					
I		DI	Chiappone, "Constructing the gnathologic setup and positioner" J. Clin. Orthod. (1980) 14:121-133.					
ľ		DJ	Cottingham, "Gnathologic clear plastic positioner" Am. J. Orthod. (1969) 55:23-31.					
		DK	Cureton, "Correcting malaligned mandibular incisors with removable retainers" J. Clin. Orthod. (1996) 30:390-395.					
		DL	Dent-X posted at http://www.dent-x.com/DentSim.htm 09/24/98, 6 pages ttoal.					
		DM	Elsasser, "Some observations on the history and uses of the Kesling positioner" Am. J. Orthod. (1950) 36:368-374.					
		DN	Kamada et al., "Case reports on tooth positioners using LTV vinyl silicone rubber" J. Nihon University School of Dentistry (1984) 26(1):11-29.					
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		DP	Kesling, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" Am. J. Orthod. Oral. Surg. (1946) 32:285-293.					
I		DQ.	Kesling, "The philosophy of the tooth positioning appliance" Am. J. Orthod. Oral. Surg. (1945) 31(6):297-304.					
I		DR	Kleemann et al., "The speed positioner" J. Clin. Orthod. (1996) 30:673-680.					
ſ		DS	Kunii et al., "Articulation Simulation for an Intelligent Dental Care System" Displays (1994) 15:181-188.					
I		DT	Kuroda et al., "Three-dimensional dental cast analyzing system using laser scanning" Am. J. Orthod. Dentofac. Orthop. (1996) 110:365-369.					
I		DU	Nahoum et al., "The vacuum formed dental contour appliance" The New York State Dental Journal (1964) 30(9):385-390.					
		DV	Nippon Dental Review "New orthodontic device-dynamic positioner (D.P.)-I. Approach to the proposal of D.P. and transparent silicone rubber" (1980) 452:61-74.					
		DW	Nippon Dental Review "New orthodontic device-dynamic positioner (D.P.)-II. Practical application and construction of D.P." (1980) 454:107-130.					
		DX	Nippon Dental Review "New orthodontic device-dynamic positioner (D.P.)-III. Case reports of reversed occlusion" 1980) 457:146-164.					
		DΥ	Nippon Dental Review "New orthodontic device-dynamic positioner (D.P.)-Case reports of reversed occlusion" (1980) 458:112-129.					
		DZ	Nishiyama et al., "A new construction of tooth repositioner by LTV vinyl silicone rubber" J. Nihon University School of Dentistry (1977) 19(2):93-102.					
		EA	Cardinal Industrial Finishes, Powder Coatings information posted at http://www.cardinalpaint.com on 08/25/00, 2 pages total.					

Examiner Date Todd E. Manahan Considered Signature Timaly Examinat

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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r form 1449B/PTO Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Application Number** 10/087,153 February 28, 2002 **Filing Date First Named Inventor** PAVLOVSKAIA, ELENA Group Art Unit Unassigned **Examiner Name** Unassigned

(use as many sheets as necessary)

Sheet Attorney Docket Number 018563-002910US

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Example Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	·   T
	✓ <sub>EB</sub>	Proffit et al, "Contemporary Orthodontics" Second Edition, Chapter 15, pp 470-533	
	EC	Raintree Essix™ & ARS Materials, Inc., Raintree Essix™ Technical Magazine Table of Contents and Essix™ Applications, http://www.essix.com/magazine/default.html (Aug. 13, 1997) 7 pages total.	
	ED	Richmond et al., "The development of the PAR Index (Peer Assessment Rating): reliability and validity"  European Journal of Orthodontics (1992) 14:125-139.	
$\neg T$	EE	Schroeder et al., Eds. <i>The Visual Toolkit</i> , Prentice Hall PTR, New Jersey (1998) Chapters 6, 8, and 9 (pages 153-210, 309-354, and 355-428, respectively).	
	EF	Shilliday, "Minimizing finishing problems with the mini-positioner" Am. J. Orthod. (1971) 59:596-599.	
	EG	Warunek et al., "Clinical use of silicone elastomer applicances" JCO (1989) XXIII(10):694-700.	Π
1	EH	Warunek et al., "Physical and mechanical properties of elastomers in orthodontic positioners" Am. J. Orthod. Dentofac. Orthop. (1989) 95:388-400.	
	El	Wells, "Application of the positioner appliance in orthodontic treatment" Am. J. Orthodont. (1970) 58:351-366.	
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Examiner Signature	Todd E.Manahan	Date Considered	2-2-0	4

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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018563-002910US

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Attorney Docket Number

Substitute is form #44984 TO	Complete if Known		
INFORMATION DIGGS COURT	Applicati n Number	10/087,153	
INFORMATION DISCLOSURE	Filing Date	February 28, 2002 PAVLOVSKAIA, ELENA, et. al	
STATEMENT BY APPLICANT	First Named Inventor		
	Art Unit	3732	
(use as many sheets as necessary)	Examiner Name	Unassigned	

U.S. PATENT DOCUMENTS					
	Document Number				
Examina Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
AA	US-3,861,044	01-21-1975	Swinson, Jr.		
AB	US-5,607,305	03-04-1997	Andersson et al.		
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				FOREIGN PA	TENT DOCUME	NTS		
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	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear		
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		ОТ	HER PRIOR	ART NON P	ATENT LITERA	TURE DOCUMENTS	3	
Examiner Initials	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T²		
	AC	DOYLE, "Digital Dentistry" Computer Graphics World (October 2000) pp. 50-54.						
	[ AD	REDMOND et al., "Clinical implications of digital orthodontics" American Journal of Orthodontics and Dentofacial Orthopedics (2000) 117(2):240-242.						
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.